

## CONTENTS

### Introduction

### PART 1: INTERNAL AUDITING

#### Chapter 1: Introduction to Internal Audit

- The internal-audit function, from step zero
- Setting long-term goals for internal audit
- What is internal auditing?

#### Chapter 2: The Professional Practice of Internal Audit

- 20 questions for directors to ask internal auditors
- Giving the finance department the audit it deserves
- How to weigh IT investment decisions
- The tipping point for board oversight of IT
- Auditing ethics and compliance programs
- Establishing accountability for your antifraud efforts
- Auditing to spot fraud, from start to end

#### Chapter 3: Improving Internal-Audit Results

- The vital need for quality internal auditing
- Enhancing your internal-audit performance
- The art of expressing an internal-audit opinion
- Driving internal audit with risk assessments
- Giving internal audit an effective mandate
- The value of “performance measurement”

#### Chapter 4: My Favorites

- Auditing system conversions
- 20 questions directors should ask about internal audit
- The role of auditing in public sector governance
- Avoiding IS icebergs
- OCEG Internal Audit Guide (OIAG)
- Improving information technology is always needed
- IT audit, assurance, security and control standards
- Improving information security! (An endless task)
- Auditing compliance and ethics

#### Chapter 5: IIA Related Guidance

- International Professional Practices Framework (IPPF) .....
- Internal audit standards: why they matter
- 20 questions directors should ask about internal audit
- Organizational governance: guidance for internal auditors
- The role of internal auditing in enterprise-wide risk management
- The role of auditing in public sector governance
- Establishing an internal audit shop
- The role of internal auditing in resourcing the internal audit activity
- Internal control over financial reporting: guidance for smaller public companies

COSO enterprise risk management: integrated framework

### **Chapter 6: Priorities for the Coming Decade**

Auditing your enterprise risk management program

Internal audit's seat at the governance table

Are you protecting your digital assets?

Operational resiliency: a business priority!

## **PART 2: IT AUDITING**

### **Chapter 7: Tackling IT Audit**

The importance of auditing IT projects well

Auditing a company's IT strategies

Ensuring technology changes are well managed

Auditing information security: are you protected?

Scoping out an audit of privacy programs

Educating staff leads to improved IT security

Auditing records management

How to audit business continuity programs

The tipping point for board oversight of IT

### **Chapter 8: Healthcare Internal Auditing**

New perspectives on healthcare risk management, control and governance

Auditing IT initiatives is recommended quality practice....

Auditing IT investment management: how aligned is it and the business in your organization?

Finance needs to be high performing!

Improve IT security: educate staff

Privacy: our next organizational challenge?

Are your audit priorities aligned with the organization's needs?

### **Chapter 9: IT-Audit Checklists**

The IT-audit checklist series

IT-audit checklist: information security

IT-audit checklist: change management

IT-audit checklist: IT governance and strategy

IT-audit checklist: privacy and data protection

IT-audit checklist: risk management

### **Chapter 10: AUDITNET® Dan Swanson's Columns**

AuditNet® Dan Swanson's columns (the summary)

Internal auditors and fraud: a 2010 resource keeper

Some summer reading: from the summer of 2009

Information security management: part 1 of 2

Improving corporate risk management!

Building security in (is needed)!

Making information systems work

How IT governance drives improved performance

Privacy: our next organizational challenge?

Risk oversight leadership is needed!

Board oversight of IT is needed

CERT's podcast series: security for business leaders

Technical communications

Business continuity and disaster recovery leadership

## **Chapter 11: IT World Canada: IT Security Resource Blog**

IT World Canada: IT security resource blog

Have you started your journey yet?

Teaching staff to fish

How to think for yourself

Auditing to avoid IS icebergs

Being prepared and in control

The importance of internal audits

All about the IIA

Inside the EDPACS newsletter

High availability: the next challenge

A fistful of risk management resources

Get to know auditing

S&P's global regulatory framework for credit ratings

The book on security engineering

Technology does not fix process!

NIST's security framework

Improving the practice of IT

Compliance, fraud and business continuity

Improving your privacy practices

The finance function

Getting more resilient

Retooling your IT security plans

Staying accountable

Best practices abound

Built-in security

Back to the future

From ethics to college basketball

Keeping tabs on governance and risk

Study the work of others

Continuous improvement is a priority

It's all about the architecture

Security audits are always useful

Don't let change just happen

The boy scout motto is there for a reason

Technology is the business

Study: the key to success (it's that simple)

Can you recover from a disaster?

An educated and motivated workforce is your best defense

Just who is responsible for information security?

Project management makes things happen

Don't reinvent the wheel

Don't reinvent the security wheel

Research complements practice and you do need to know both  
Good leadership AND good management are needed  
Do you search out knowledge and wisdom?  
Guidance only supports practice

#### **Chapter 12: Sentinel: The IT Governance Newsletter .....**

Sentinel archive: access link

#### **Chapter 13: CIO Canada: IT Management Columns.....**

Positioning the CIO for success  
Helping management understand IT planning  
Planning, projects and control  
Time for information security management to go to war **Error! Bookmark not defined.**  
Taking stock of projects  
Your online HR management checklist  
Towards effective IT governance

#### **Chapter 14: Keeping Our Kids Safe!**

Make a difference!  
The WIRED KIDS website  
A call to action: be a cyber-secure kid!  
The National Child Exploitation Coordination Centre .....

The National Center for Missing & Exploited Children.....  
Security awareness for Ma, Pa and the corporate clueless .

### **PART 3: MAKING A DIFFERENCE**

#### **Chapter 15: Learn from the Past and “Think”**

Nobody’s perfect  
On quality management, Dr Deming, and candles: the last graduate student remembers her mentor  
The goal: a process of ongoing improvement  
Crucial conversations: tools for talking when stakes are high

#### **Appendix A: EDPACS Articles**

The state of IT auditing in 2007

#### **Appendix B: IIA Standards**

#### **Appendix C: Global Technology Audit Guides**

#### **Appendix D: A Primer on Corporate Duties**

#### **Appendix E: Assurance Conundrum**

#### **Appendix F: The Perils of Mount Must Read™: Confessions of a Cliff Note Junky**

#### **Appendix G: Norman Marks on Governance**

#### **Appendix H: Charles Le Grand on Technology**

#### **ITG Resources**